

**EXHIBIT 3-E - REQUEST FOR AUTHORIZATION TO PROCEED DATA SHEET(S)****PROJECT REFERENCE DATA**

DIST-CO-RTE-AGENCY: \_\_\_\_\_  
 FEDERAL PROJECT NO.: \_\_\_\_\_  
 CALTRANS EA: \_\_\_\_\_

FTIP / FSTIP ID: \_\_\_\_\_  
 PPNO (STIP): \_\_\_\_\_  
 CTIPS REFER. NO.: \_\_\_\_\_  
 BRIDGE NO.(s): \_\_\_\_\_

**RESPONSIBLE/IMPLEMENTING AGENCY**

RESPONSIBLE AGENCY: \_\_\_\_\_ IMPLEMEN. AGENCY: \_\_\_\_\_

**PROJECT DESCRIPTION**

PROJECT TITLE: \_\_\_\_\_  
 WORK DESCRIPTION: \_\_\_\_\_

**PROJECT LOCATION**

PROJECT LOCATION: \_\_\_\_\_

URBAN (IZED) AREA: \_\_\_\_\_ INDIAN RESERV. : (Y/N) \_\_\_\_\_  
 CONG. DIST.& %'s: \_\_\_\_\_ TOLL ROAD: (Y/N) \_\_\_\_\_  
 RURAL (Y/N): \_\_\_\_\_

**FEDERAL AID ROUTE**

FED-AID SYSTEM: (Y/N) \_\_\_\_\_ FUNCIONAL CLASSIF.: \_\_\_\_\_  
 STATE HWY: (Y/N) \_\_\_\_\_ STATE ROUTE: \_\_\_\_\_

**ADMINISTERING AGENCY**

LOCAL or CALTRANS (CT): \_\_\_\_\_ IF CT, PROJ. MANAGER: \_\_\_\_\_

**THIS FEDERAL AUTHORIZATION REQUEST**

OVERSIGHT: ☐ STATE-AUTHORIZED or ☐ FULL OVERSIGHT  
 ADV. CON. (Y/N): \_\_\_\_\_ 100% SAFETY (Y/N): \_\_\_\_\_

**COST SUMMARY:**

| <u>PHASE OF WORK</u> | <u>TOTAL</u> | <u>FED PART</u> | <u>FED 1</u> | <u>FED 2</u> | <u>STATE</u> | <u>OTHER</u> | <u>LOCAL</u> |
|----------------------|--------------|-----------------|--------------|--------------|--------------|--------------|--------------|
| PREV. OBLIG          | _____        | _____           | _____        | _____        | _____        | _____        | _____        |
| THIS REQUEST         | _____        | _____           | _____        | _____        | _____        | _____        | _____        |
| SUBTOTAL             | _____        | _____           | _____        | _____        | _____        | _____        | _____        |
| <u>PHASE OF WORK</u> | <u>TOTAL</u> | <u>FED PART</u> | <u>FED 1</u> | <u>FED 2</u> | <u>STATE</u> | <u>OTHER</u> | <u>LOCAL</u> |
| PREV. OBLIG          | _____        | _____           | _____        | _____        | _____        | _____        | _____        |
| THIS REQUEST         | _____        | _____           | _____        | _____        | _____        | _____        | _____        |
| SUBTOTAL             | _____        | _____           | _____        | _____        | _____        | _____        | _____        |
| TOTAL                | _____        | _____           | _____        | _____        | _____        | _____        | _____        |

**FEDERAL DEMONSTRATION PROJECT INFORMATION**

PUBLIC LAW, SECTION: \_\_\_\_\_ FEDERAL DEMO ID: \_\_\_\_\_  
 LEGISLATIVE. PROJECT NO.: \_\_\_\_\_ ESTIM. CONST. DATE: \_\_\_\_\_  
 RELATED DEMO PROECTS: \_\_\_\_\_

**Exhibit 3-E**  
**Request for Authorization to Proceed Data Sheet(s)**

**Local Assistance Procedures Manual**

**FTIP / FSTIP DATA**

MPO/RTPA NAME: \_\_\_\_\_ FTIP / FSTIP YEAR: \_\_\_\_\_  
FED. FUNDED PHASES: \_\_\_\_\_ SHEET OR AMD. NO.: \_\_\_\_\_  
APPROVAL DATE: \_\_\_\_\_  
FED FUND TYPES/TOTALS: \_\_\_\_\_ APPRV'D EPSP (Y or N): \_\_\_\_\_)

**DISADVANTAGED BUSINESS ENTERPRISE (DBE) SUBMITTALS:**

Race-Neutral Implementation Agreement CT APPROVAL DATE: \_\_\_\_\_

DBE Annual Submittal Form:

FED FISCAL YEAR: \_\_\_\_\_ CT APPROVAL DATE: \_\_\_\_\_

**INITIAL AUTHORIZATION & ESTIMATED COMPLETION DATES**

| <u>PHASE OF WORK</u> | <u>INITIAL FEDERAL AUTHORIZATION DATE</u> | <u>ESTIMATED COMPLETION DATE</u> |
|----------------------|---|----------------------------------|
| PE                   | _____                                     | _____                            |
| RW                   | _____                                     | _____                            |
| CON                  | _____                                     | _____                            |

**ENVIRONMENTAL DATA**

NEPA DOCUMENT TYPE:

|                                     |       |   |
|-------------------------------------|-------|---|
| <input type="checkbox"/> CE         | _____ | Date Caltrans SEP/DLAE signed CE Form (use the latest date)     |
| <input type="checkbox"/> EA / FONSI | _____ | Date Caltrans DD (DDD or designee) signed the FONSI             |
| <input type="checkbox"/> EIS / ROD  | _____ | Date Caltrans signed the ROD                                    |
| EIS Number                          | _____ | Year of Public Release of EIS and EIS number (assigned by FHWA) |
| AIR BASIN                           | _____ | (For CMAQ Program Funds)  |

**R/W ESTIMATE**

R/W ACQ PARCELS: \_\_\_\_\_ \$ \_\_\_\_\_  
RAP (FAMILY): \_\_\_\_\_ \$ \_\_\_\_\_  
(BUSINESS): \_\_\_\_\_ \$ \_\_\_\_\_  
LRH/HRDSHP: \_\_\_\_\_ \$ \_\_\_\_\_  
UTILITIES: \_\_\_\_\_ \$ \_\_\_\_\_  
SUPPORT: \_\_\_\_\_ \$ \_\_\_\_\_  
TOTAL: \_\_\_\_\_ \$ \_\_\_\_\_

**UTILITY RELOCATION / ADJUSTMENTS**

| <u>UTILITY OWNER</u>           | <u>UTILITY TYPE</u> | <u>COST TO RELOCATE</u> |
|--------------------------------|---------------------|-------------------------|
| _____                          | _____               | _____                   |
| _____                          | _____               | _____                   |
| _____                          | _____               | _____                   |
| TOTAL UTILITY RELOCATION COSTS |                     | _____                   |

**DESCRIPTION OF R/W PARCELS BY TYPE OF ACQUISITION/ACTIVITY**

| <u># PARCELS</u> | <u>ACQUISITION TYPE AND/OR ACTIVITY</u> | <u># ACRES</u> | <u>EST. COST</u> |
|------------------|---|----------------|------------------|
| _____            | _____                                   | _____          | _____            |
| _____            | _____                                   | _____          | _____            |
| _____            | _____                                   | _____          | _____            |

**R/W CERTIFICATION**

R/W CERT. NO. \_\_\_\_\_ Date Approved by Caltrans: \_\_\_\_\_

**LOCAL AGENCY COMMENTS**

**THIS REQUEST PREPARED BY:**

NAME: \_\_\_\_\_  
TITLE: \_\_\_\_\_  
PHONE NO.: \_\_\_\_\_  
E-MAIL: \_\_\_\_\_

**AGENCY CONTACT FOR PROGRAM SUPPLEMENT AGREEMENT**

NAME: \_\_\_\_\_  
TITLE: \_\_\_\_\_  
E-MAIL: \_\_\_\_\_  
PHONE NO.: \_\_\_\_\_

**Distribution: DLAE**